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Commissioner for Patents
Washington DC 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
postage paid with the United States Postal Service postage paid
as first class mail in an envelope addressed to: Commissioner
For Patents, Washington, D.C. 20231
on 11/15/01

Signature: *Carol Marsteller*

Re: Applicant(s): Cheng Chiang Phua et al.
Serial No. 09/932,491
Filing Date: August 17, 2001
For: Method and Apparatus for the Modulation of Multilevel Data Arrays
Docket No.: 33726-00020USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Response to Notice of Missing Parts of Application
2. Copy of Notice of Missing Parts of Application
3. Oath or Declaration (signed)
4. Check in the amount of \$130
6. Acknowledgment Postcard

Please address all communications related to this to:

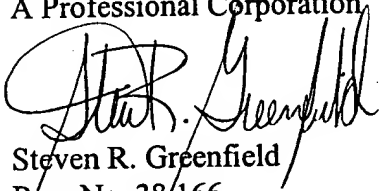
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Page 2

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447.

Respectfully submitted,

JENKENS & GILCHRIST,
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/932,491

08/17/2001

Cheng Chiang Phua

33726-00020USPT

CONFIRMATION NO. 5213

FORMALITIES LETTER



OC000000006591257

JENNIFER S. & GILCHRIST
PATENT & TRADEMARK OFFICE
3206 Fountain Place
1445 Ross Avenue
Dallas, TX 75202-2799

Date Mailed: 09/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

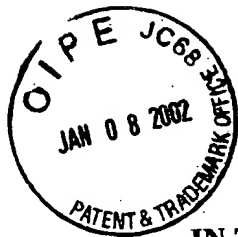
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT APPLICATION
Docket No: 33726-20USPT
TW/PSC/D.9902/013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

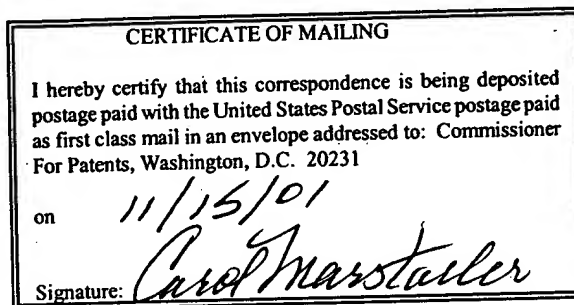
In re patent application of: Cheng Chiang Phua et al.

Serial No.: 09/932,491

Filed: 8/17/01

For: **Method and Apparatus for the Modulation of Multilevel Data Arrays**

Commissioner for Patents
Washington DC 20231



Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

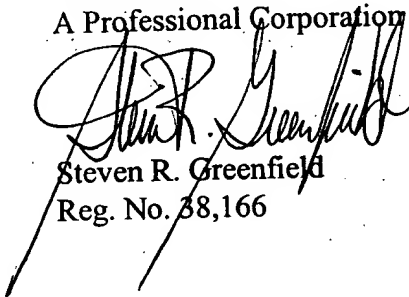
In response to the Notice to File Missing Parts mailed by the Application Branch on September 21, 2001, you will find enclosed a Declaration and Power of Attorney duly executed by the inventor(s) on September 9, 2001.

A check in the amount of \$130 is enclosed for the surcharge as set forth in 37 CFR 1.16(e).

It is respectfully submitted that no additional parts are required to be filed with regard to the above-referenced application, and the application should therefore be processed accordingly.

Respectfully submitted,

JENKENS & GILCHRIST,
A Professional Corporation


Steven R. Greenfield
Reg. No. 38,166